**Depository Participant- Annexure C: Investor Complaints Report**

**(Gogia Capital Services Limited DP Id: IN300589)**

# Data for month of January 2025

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **SN** | **Received from** | **Carried forward from previous month** | **Received during the month** | **Total Pending** | **Resolved\*** | **Pending at the end of the month\*\*** | | **Average Resolution time^**  **(in days)** |
|  |  |  |  |  |  | **Pending for less than 3 months** | **Pending for more than 3**  **months** |  |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | | **8** |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | 0 |
| 2 | SEBI (SCORES) | N.A | N.A | N.A | N.A | N.A | | N.A |
| 3 | Depositories | 0 | 0 | 0 | 0 | 0 | | 0 |
| 4 | Other  Sources (if any) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 5 | **Grand Total** | 0 | 0 | 0 | 0 | 0 | | 0 |

**Trend of monthly disposal of complaints (Yearly trend – Rolling Month Basis)**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **SN** | **Month** | **Carried forward**  **from previous month** | **Received** | **Resolved\*** | **Pending\*\*** |
| **1** | **2** | **3** | **4** | **5** | **6** |
| 1 | November -2023 | 0 | 0 | 0 | 0 |
| 2 | December-2023 | 0 | 0 | 0 | 0 |
| 3 | January - 2024 | 0 | 0 | 0 | 0 |
| 4 | February 2024 | 0 | 0 | 0 | 0 |
| 5 | March-2024 | 0 | 0 | 0 | 0 |
| 6 | April-2024 | 0 | 0 | 0 | 0 |
| 7 | May-2024 | 0 | 0 | 0 | 0 |
| 8 | June-2024 | 0 | 0 | 0 | 0 |
| 9 | July-2024 | 0 | 0 | 0 | 0 |
| 10 | August-2024 | 0 | 0 | 0 | 0 |
| 11 | September-2024 | 0 | 1 | 1 | 0 |
| 12 | October-2024 | 0 | 0 | 0 | 0 |
| 13 | November-2024 | 0 | 0 | 0 | 0 |
| 14 | December-2024 | 0 | 0 | 0 | 0 |
| 15 | January-2025 | 0 | 0 | 0 | 0 |
|  | **Grand Total** | 0 | 1 | 1 | 0 |

Trend of annual disposal of complaints

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **SN** | **Year** | **Carried forward**  **from previous year** | **Received**  **during the year** | **Resolved**  **during the year** | **Pending at**  **the end of the year** |
| 1 | 2017-18 | 0 | 8 | 8 | 0 |
| 2 | 2018-19 | 0 | 11 | 11 | 0 |
| 3 | 2019-20 | 0 | 1 | 1 | 0 |
| 4 | 2020-21 | 0 | 3 | 3 | 0 |
| 5 | 2021-22 | 0 | 7 | 7 | 0 |
| 6 | 2022-23 | 0 | 4 | 4 | 0 |
| 7 | 2023-24 | 0 | 0 | 0 | 0 |
|  | **Grand Total** | 0 | 34 | 34 | 0 |